

# Governance and Audit Committee



SOUTH  
KESTEVEN  
DISTRICT  
COUNCIL



---

Wednesday, 13 March 2024 at 2.00 pm  
Council Chamber - Council Offices, St. Peter's Hill,  
Grantham. NG31 6PZ

---

**Committee** Councillor Tim Harrison (Chairman)  
**Members:** Councillor Paul Wood (Vice-Chairman)

Councillor Patsy Ellis, Councillor Bridget Ley, Councillor Charmaine Morgan,  
Councillor Rob Shorrocks, Councillor Peter Stephens, Councillor Ian Stokes and  
Councillor Sue Woolley

---

## Agenda

This meeting can be watched as a live stream, or at a later date, [via the SKDC Public-I Channel](#)

- 1. Apologies for absence**
- 2. Disclosure of interests**  
Members are asked to disclose any interests in matters for consideration at the meeting.
- 3. Minutes of the meeting held on 24 January 2024.** (To Follow)
- 4. Updates from previous meeting** (Page 5)  
To consider updates on Actions agreed at the meeting held on 24 January 2024.
- 5. Internal Audit Progress Report** (Pages 7 - 70)  
To consider an update from Internal Audit.
- 6. Strategic Risk Register** (Pages 71 - 87)  
To provide an update on the Council's Strategic Risk Register.

---

Published and dispatched by [democracy@southkesteven.gov.uk](mailto:democracy@southkesteven.gov.uk) on Tuesday, 5 March 2024.

☎ 01476 406080

**Karen Bradford, Chief Executive**

[www.southkesteven.gov.uk](http://www.southkesteven.gov.uk)

- 7. Safeguarding Report: 2022/2023** (Pages 89 - 102)  
 In accordance with Article 10 (Regulatory Framework – xxiii) of the Constitution, this report presents to the Governance and Audit Committee the Annual Report on Safeguarding. The report provides an overview of the Council’s involvement in the safeguarding of children, young people and vulnerable adults for 2022/2023.
- 8. Quarter 3 Treasury Monitoring Report** (Pages 103 - 110)  
 This Council is required by regulations issued under the local Government Act 2003 to produce regular reports on treasury and debt management operations during the financial year. This report meets the requirements of the CIPFA Code of Practice on Treasury Management (the Code).
- 9. 2024 Update on the Planning Review undertaken in 2021 and Action Plan** (Pages 111 - 122)  
 This report updates the Governance and Audit Committee on the actions undertaken following a 2021 review of the Planning Service.
- 10. 2023/24 Accounting Policies and publication date of the Statement of Accounts** (Pages 123 - 140)  
 The Council’s Accounting Policies are reviewed annually, prior to the preparation of the Statement of Accounts, to ensure that they are up to date and in line with the CIPFA Code of Practice on Local Authority Accounting in the United Kingdom (The Code).  
  
 In line with the Committee’s terms of reference it is a requirement that the proposed policies are reported prior to the production of the Statement of Accounts.
- 11. Proposed amendments to the Council's Constitution** (Pages 141 - 145)  
 This report provides the Governance and Audit Committee with an opportunity to consider proposed amendments to the Council's Constitution and make any recommendations to Full Council for approval.
- 12. Complaints Statistics: April 2022 to February 2024** (Pages 147 - 160)  
 To present the complaints statistics for the period April 2022 to February 2024.
- 13. Whistleblowing Audit Update** (Pages 161 - 186)  
 To update committee on the completion of the actions agreed as part of the Whistleblowing Audit completed by Assurance Lincolnshire and to present the findings of the Sale of Oil audit completed by Duncan and Toplis.  
  
 Appendices 1 and 2 are exempt under Section 100(a)(4) of the Local Government Act 1972; paragraph 2 of Schedule 12A of the Act. The press and public may be excluded from the meeting during consideration of Appendices 1 and 2, on the grounds that if they were to be present, exempt information could be disclosed to them.
- 14. LeisureSK Limited - Board of Directors** (Pages 187 - 189)  
 The Governance and Audit Committee is invited to consider making appointments to the Board of Directors further to the resignation of Councillor Steve Cunnington from the Board since the Committee’s last meeting.

- 15. Access to Information Working Group** (Verbal Report)  
Full Council agreed to establish an Access to Information Working Group at their meeting held on 29 February 2024.
- 16. Work Programme 2024-2025** (Pages 191 - 193)  
To consider the Committee's Work Programme for the year ahead.
- 17. Any other business, which the chairman, by reasons of special circumstances, decides is urgent.**